

## AN INTERACTIVE TOOL FOR SEMI-AUTOMATIC CREATION OF A NATURAL LANGUAGE GRAMMAR FROM A DOMAIN MODEL

### DESCRIPTION

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### RELATED APPLICATION

The present invention is related to U.S. Patent Application No.  
09/\_\_\_\_\_ (Attorney Docket No. CML00013-H) entitled “An Interactive Tool  
10 For Semi-Automatic Creation of a Domain Model” to Dale W. Russell, filed  
coincident herewith and assigned to the assignee of the present application.

### BACKGROUND OF THE INVENTION

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#### *Field of the Invention*

The present invention is related to spoken language dialog systems and, more  
particularly, to methods of creating grammars for natural language dialog systems.

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#### *Background Description*

One of the most difficult and time-consuming tasks in the developing Natural  
Language Dialog Systems is creating or adapting pre-existing grammars. This task  
requires a high degree of linguistic training and expertise. Typically, the most  
25 difficult part of this task is beginning, creating an initial set of grammar rules.  
Normally, grammars are derived from a given training corpus. However, such  
training corpora are expensive and difficult to obtain. If the target grammar is to  
contain semantic information, then the training corpus must be annotated for  
semantics, which requires additional time and expertise. Moreover, extracting an  
30 optimal grammar from a given corpus is difficult.

Unisys Corporation has a grammar development toolkit, entitled “Natural  
Language Speech Assistant” (NLSA), available that does not require a training  
corpus. Instead, the developer must formulate sample utterances. Essentially, the  
developer is presented with a blank slate and told to fill the slate with samples. If the  
35 developer fails to anticipate a full user utterance range, the coverage of the resulting  
grammar is inadequate.

In addition to the Unisys toolkit, other grammar development toolkits currently are available. Nuance provides a system entitled “Grammar Developer’s Toolkit” that, like the Unisys system, has an intuitive interface for refining an existing grammar but, is difficult to use. Also, the English Wizard system from Linguistic Technology facilitates development of natural language dialog systems, but has grammar that is tightly integrated with its result, and cannot be used by other system components. Additionally, the English Wizard result cannot be examined and edited by the developer. Instead, the grammar is tightly integrated with the parser and not readily available for inspection as a distinct module.

Because of their many advantages, spoken language dialog systems development is an active area of current research and promises many products with a variety of applications. Such products may be used for receiving stock quotes, disseminating weather or yellow pages information, sending and receiving e-mail, as well as using a voice interface to browse the Internet. The main hurdle in getting new products to market is the time and expertise required to create or adapt necessary spoken dialog components, such as grammars, speech recognizers and dialog managers for new domains. Whoever can best streamline the process of porting these components to new domains will have a distinct advantage over others in this competitive field.

Thus, there is a need for an easy way to automatically create and refine grammars in a form that reflects an expert developer’s conceptualization of the grammar. Also, there is a need for a suggested default set of grammar rules which a developer can then augment and refine as desired.

## BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects, aspects and advantages will be better understood from the following detailed description of a preferred embodiment of the invention with reference to the drawings in which:

Figures 1A-B show a flow diagram wherein a new grammar is derived from a grammar template;

Figure 2 is a flow diagram describing the steps in creating object grammar rules;

Figure 3 is a flow diagram showing the steps of creating attribute grammar rules;

Figures 4A-B shows pseudocode corresponding to the preferred embodiment of the present invention of the flow diagrams of Figures 1 - 3;

Figure 5 is a flow diagram of the final step wherein inconsistencies in the newly-created grammar are detected and repaired;

5 Figure 6 shows pseudocode corresponding to the final step of Figure 5.

## DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT OF THE INVENTION

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The present invention is a system, method and program product that allows any person, regardless of their level of grammar creation expertise to create a grammar from a grammar template.

A domain model is created as described in U.S. Patent Application No.  
15 09/\_\_\_\_\_ (Attorney Docket No. CML00013-H) entitled "An Interactive Tool for Semi-Automatic Creation of a Domain Model" to Dale W. Russell, filed coincident herewith, assigned to the assignee of the present application and incorporated herein by reference. From this domain model, the preferred embodiment of the present invention automatically creates default grammar rules that are  
20 suggested to the developer. Then, the developer has the option of accepting, rejecting, augmenting or revising the default rules and testing their coverage using the present invention.

Applications using Spoken Language Dialog Systems primarily fall into one of two categories: query or, command and control. For each of these, it is possible to  
25 anticipate the linguistic form of many user utterances. These anticipated linguistic forms can be expressed in a domain-independent formulation called a template grammar. A template grammar is supplied to the grammar developer. The template grammar is then specialized for a given application domain according to an algorithm that operates on one object of the domain model at a time and on one attribute at a  
30 time for each object. Thus, for each object and for each attribute, the preferred embodiment tool retrieves relevant rules from the template grammar and specializes the retrieved rules for the given object and/or attribute to generate a set of default rules. The default rules are then presented to the developer. The developer can accept, reject, augment or revise the default rules, and test their coverage with a set of  
35 grammar tools.

Figures 1A-B are a flow diagram of the preferred embodiment method 100 of induction of a grammar from a domain model. First, in step 102, a new grammar is created. Initially, the new grammar is empty. Then, in step 104, a template grammar is opened. The template grammar includes parameterized general purpose rules that are to be instantiated. In step 106, instances are created from general purpose grammar rules and, the general purpose grammar rule instances are added to the new grammar. In step 108, an umbrella rule is created for each of the broad category of queries in the new grammar. Each umbrella rule subsumes the rules for that type of query. The umbrella rules each include domain object independent non-terminals on the left-hand side relating the rule to a broad category of rules. The right-hand side is a set of expansions of corresponding non-terminals. These expansions are each a domain object-specific substantiation of the broad category.

Continuing, in step 110, the domain objects are selected one at a time and in step 112, the developer is allowed to decide whether to include the selected domain object or not. If the developer decides to include the domain object, then in step 114, object grammar rules are created for that domain object as is described more fully herein below with reference to Figure 2. In step 116, attributes from included domain objects are presented to the developer, one attribute at a time and in step 118, the developer can decide whether or not to include the selected attributes in the grammar. If the developer does decide to include the attributes, then, in step 120, attribute grammar rules are created for the attribute as described in detail herein below with reference to Figure 3. In step 122, the object is checked to determine whether any attributes remain as yet unselected and, if so, returning to step 116, attribute selection continues. Once in step 122, all of the domain attributes have been selected and either included or excluded, then in step 124, the umbrella rules for included attributes are added to the grammar. In step 126, a check is made of whether additional domain objects remain unselected. If additional domain objects remain, then, returning to step 110, the next domain object is selected. If, however, no additional objects remain, then in step 128, the query level umbrella rules are added to the new grammar. Finally, in cleanup step 200, the newly-created grammar is finalized as inconsistencies are detected and repaired.

Thus, an inexperienced developer, accepting all of the default specialized template rules receives a fully functional grammar, although coverage may be less than optimal. Alternatively, a more experienced developer with some knowledge of

the domain may accept some proposed grammar rules, reject others, add new expansions for given rules and modify existing expansions.

As indicated hereinabove, Figure 2 is a flow diagram describing the step 114 of creating object grammar rules. First, in step 1142, an object phrase grammar rule is created for each category of object phrases. The object phrase grammar rules are each an umbrella rule that subsumes rules for that particular type of object phrase. In step 1144, object-name rules and object-name-poss grammar rules are created, where the object-name-poss rule specifies the possessive form of the name of the particular object. In step 1146, the developer is allowed to select object names. The developer is presented with the name of the domain object as the default name for the object and allowed to provide other object names. In step 1148, each name selected by the developer, including the default name, if selected, is included in the object. A right-hand side is added to the object-name rule, expanding to include each selected name and, correspondingly, a right-hand side is also added to the object-name-poss rule, expanding to include the possessive form of the entered name. Then, both the object-name rule and the object-name-poss rule are added to the new grammar.

Continuing, in step 1150, developer-independent specialized rules are added to the new grammar. So, for each type of object rule using the domain object name, but that does not require developer input, appropriate parameterized rules are retrieved from the template grammar. The retrieved rules are specialized for the particular domain object and, then, added to the new grammar. Finally, in step 1152, entry level rules that require only domain object names are added to the new grammar. So, the appropriate parameterized rule is retrieved for the new grammar and specialized for the particular domain object. The specialized rule is added to the new grammar. A new right-hand side is added to the query level rule and the left-hand side is expanded in the specialized rule. Domain object processing continues in step 116, as domain attributes are selected for the main object, selectively included in step 118 and grammar rules are created for the included attributes in step 120.

Figure 3 is a flow diagram showing the step 120 of creating attribute grammar rules. First, in step 1202, grammar rules are generated using the named domain attribute and, optionally, the name of the domain object. Then, the generated grammar rules are added to the new grammar. Next, in step 1204, the domain attribute is checked to determine if it is complex, i.e., it represents a subsidiary of a domain object. If the domain object is complex, then in step 1206, grammar rules are created relating the subsidiary domain object to the domain object. In step 1208, the

newly created grammar rule is added to the new grammar and, a new right-hand side is added to the umbrella rule for the complex domain object, correspondingly, expanding to the left-hand side for this newly-created rule.

Otherwise, if in step 1206 the domain object is not complex, then in step 1210,  
 5 grammar rules are created for atomic values of the simple domain attribute. These newly created atomic value grammar rules are then added to the new grammar and, in step 1212, grammar rules are created that require the name of the simple domain object and the atomic value of the domain attribute. In step 1214, the newly created grammar rule is added to the new grammar and the new right-hand side is added to  
 10 the umbrella rule for the simple domain object as well as, correspondingly, expanding the right-hand side of the umbrella rule. Once umbrella rules are created for domain object attributes and included in the new grammar and, query level umbrella rules have been created and included in the new grammar in step 128, the grammar is checked in step 200 for inconsistencies, removing any that are found.

15 Figure 4A-B shows pseudocode for the preferred embodiment corresponding to the flow charts of Figures 1- 3.

As described herein above, a template grammar is a set of general purpose parameterized rules or template rules. A template rule is a grammar rule which is parameterized for domain objects and attributes. That is, some or all non-terminal or  
 20 terminal categories in a template rule may be abstractions over objects or attributes. These abstract categories are instantiated for particular objects and attributes, thus creating an actual grammar rule. So, for an example of a template rule:

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<Object_adj_Attribute> ::=
25   ( ( <Attribute_value> :Attribute1 )
      { ( VALUE ( APPEND Attribute $Attribute1 ) ) }
    )
    .
  
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30 Working with an airline domain, for example, one way that this template rule might be specialized:

Specialize Object to "flight"

Specialize Attribute to "airline"

35 Then, the specialized rule would then be:

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<flight_adj_airline> ::=
    ( ( <airline_value> :airline1 )
      { ( VALUE ( APPEND airline $airline1 ) ) }
5      )
    .

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The <airline\_value> expands to, for example, “delta” and “united,” which, along with other rules, allows a user to make requests such as: “show me the delta  
 10 flights” or “I want to get a united flight” and the like. During the course of creating an application grammar, a single template rule may be used many times, specialized in different ways. The template rules represent very general language patterns, which occur over and over again. The template rule above indicates that an attribute may be instantiated as an adjective that is used to modify a noun representing an object  
 15 having that attribute. There are many such patterns, each encoded in a template rule.

Figure 5 is a flow diagram of the final step 200 wherein inconsistencies in the newly-created grammar are detected and repaired and Figure 6 is corresponding pseudocode. First, in step 202, the grammar checker is run on the new grammar to determine if any unreachable non-terminals exist. In step 204, the developer is  
 20 prompted to either delete rules containing the unreachable non-terminals for the new grammar or, add rules to make the non-terminal rules reachable. Then, in step 206, the grammar checker is re-run on the grammar to find and list any non-terminating expansions. In step 208, again, prompting the developer, either the expansion is deleted by default or, rules are added to terminate the particular expansion. Finally, in  
 25 step 210, the new completed grammar is printed to a file.

An appropriate interface, such as a graphical user interface (GUI), allows developers to choose an object or attribute from the Domain Model and work on grammar rules related to that selected object or attribute. When an object or attribute is selected, any grammar rules already created by the developer are displayed.  
 30 Otherwise, if no rules have yet been created for a selected object or attribute, a default set of rules is created and displayed. The interface also displays the current state of completion of the grammar rules. At any time, the developer may further refine previously created rules.

Accordingly, the present invention automatically provides grammar rules to a  
 35 developer. The developer may accept, reject, augment or revise the default rules as

desired. Once the developer is satisfied with the grammar, it is tested and any defects corrected. The resulting grammar is automatically generated without requiring any particular level of expertise on the part of the developer.

- While the invention has been described in terms of preferred embodiments,
- 5 those skilled in the art will recognize that the invention can be practiced with modification within the spirit and scope of the appended claims.

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